



A premier independent broker/dealer

Our Goal? Simple. Great Service That Gives You A Good Feeling

Our mission, since 1992, has always been a simple one: to make you feel good about your choice of broker/dealer by providing you with the 5-star service and support you need to achieve success however you define it.

We answer your call warmly and with a smile, often in 5 seconds or less—just like you'd answer a call from a favorite client or a friend.

We create technology that streamlines your day. Our new in-house built Transparency System allows you to follow your submitted paperwork, track its processing status, and see who's working on it as it moves through our Operations workflow—24/7 on the web.

Our quality assurance and process improvement department ensures that your work is processed as efficiently and accurately as possible. By doing it right the first time, you get paid sooner and you and your clients avoid frustration and delays.

Achieve true independence. No product or private equity firm to take the focus off of you. You run

your business your way, doing what's best for your clients. All of our efforts are expended toward how we can improve your daily service experience with us and how we can help you grow your business.

Receive upfront compensation and payouts up to 92% to give you the compensation you're worth and that you deserve.

We want to ensure that you have a great service experience with our warm home office staff every day you're with us.

Work with a personalized transition team to move you over smoothly—and with a smile. We also move you over fast so you're back in production as quickly as possible. We don't want you to miss any new business opportunities because you're transitioning.

Take advantage of our advisory solutions to help you manage your clients' assets better and easier.

Get business development and marketing expertise to help you market

your practice, get your name into your community, and grow your business—without reinventing the wheel.

We are the only home office staff in the industry to annually attend the Ritz-Carlton Leadership Center. We are also a 2-time winner of the *Investment Advisor* magazine Broker/Dealer of the Year award.

Our goal? To ensure that you have

a great service experience with our warm home office staff every day you're with us and that you truly feel good about Investors Capital as the broker/dealer you've chosen.

If you generate over \$100,000 in GDC, consider making the move to Investors Capital. We'd love to have you come on board.

Investors Capital Corporation: where 5-star service is always the rule, never the exception.

investorscapital.com | NYSE Amex: ICH | Member FINRA/SIPC
866.451.8509 | recruiting@investorscapital.com