



national planning corporation

Looking At Financial Services From Your Perspective

National Planning Corporation (NPC) is an independent broker-dealer committed to offering the finest in technology, service and support. We're pioneering a new standard of business with innovative solutions that afford Representatives what everyone needs more of - time.

Advanced Technology

You'll find this commitment in our dedication to providing the kind of advanced technology that allows you to focus on your clients. EOE, our proprietary business processing tool, allows Representatives to conduct business either in the office or wirelessly for the ultimate in convenience and mobility. The flexibility of EOE is enhanced with eSignature capability which securely captures and transmits your clients' signatures electronically, and FundSERV, allowing users to trade mutual funds directly with fund sponsors. EOE transactions are made available in real-time to NPC's OSJ Managers and the NPC home office.

This summer we will launch WealthOne, a dynamic new advisory

platform offering multiple fee-based solutions, including single-click access to world-class money managers. Our advisory platform provides Representatives with access to powerful model portfolio creation and management tools, as well as customizable proposal and consolidated reporting capabilities.

Open Communication

Key to NPC's success is our understanding of what financial professionals look for most in a broker-dealer relationship - recognition of their unique business and client investment requirements. NPC regularly solicits feedback from the field through email communications, surveys, quarterly OSJ Manager calls with Senior Management, and our President's Advisory Council and Advisory Roundtable groups to enhance our affiliated Representatives' overall experience. Mindful of the fact that each office has different needs, goals and priorities, NPC offers the Strategic Business Unit (SBU), a dedicated team of practice management consultants, providing business building programs customized to your unique challenges.

Exceptional Support

Sharing knowledge and keeping each other informed is a cornerstone of the NPC culture. Our website provides a virtual command center through the NPC Rep Portal allowing users to access to their most frequently used applications. We have partnered with a host of vendors to provide access to helpful tools, including detailed product research from Morningstar, client data aggregation through Albridge Solutions, and a powerful web-based client tracking system with advanced organization capabilities through Redtail Technology. We also offer annual educational conferences, quarterly newsletters and administrative training, weekly WebEx application trainings and consolidated blast communications to keep our Representative up-to-date today and prepared for tomorrow.

Dedicated Service

Our professionalism and commitment to excellence is deeply embedded in our culture. Affiliation with NPC signifies more than merely a business relationship. Partnering with NPC makes you a crucial member of our team.

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