



Financial Services, Inc.
Member FINRA/SIPC

What Berthel Fisher Looks Like

AT BERTHEL FISHER, you'll find all of the resources and knowledge of the bigger Wall Street firms coupled with one of the broadest and most innovative product lines. We share our ideas, knowledge, and enthusiasm, and we actively seek the platforms, technology and relationships that provide you with the autonomy and creativity to determine the most successful direction for your business.

Teamwork

With a management team that maintains private personal Clients, you can be sure that they experience the same day-to-day challenges that you do. That is why we're just as invested in developing the back office solutions that our Clients and Reps are looking for.

Our associates really care about you and your business. Many of our employees are also shareholders and have a personal stake in your success.

Choices

Berthel Fisher enthusiastically supports a diverse array of investment specialties and skills. Whether you are a traditional "stock-broker", a fee-only planner or an "alternative investment" specialist, you will experience an equal appreciation of your business plan and professional colleagues that share your expertise. Reviewing new and existing

products to ensure quality offerings is extremely important to us. We remain on top of market standards to offer products that will grow and nurture your business. Our investment opportunities are always innovative, but our outstanding service doesn't end there. Our knowledgeable staff is readily available to answer any questions and provide comprehensive information to help our Representatives make the right selection for their Clients.

Support

Expect prompt, courteous, dependable

celebrating personal accomplishments. No matter how big or small, we're here for you and take the time to get to know you, your business, and give you the tools to successfully grow your firm to its full potential. Family can just be a word to many, to us it's a commitment.

Incentives

Berthel Fisher offers highly competitive payouts and lower fees than most of our competitors. OSJs and larger producers can earn up to 90% on their personal securities commissions. Commission and fee revenue is

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service. You deserve nothing less. From our in-house compliance team, our dedicated tech-support, our creative marketing department to our steady transition team. We will work with you each step of the way to craft solutions for your business in this ever-changing regulatory environment, and provide your business with the service, and protection that it needs.

Family

When you work with Berthel Fisher, this is what you'll be—a member of our family. From company golf outings to Presidents Club trips around the world, to

calculated quickly and accurately and paid electronically twice each month. In addition to competitive commission payouts, qualified Representatives can enhance their compensation through ownership in the firm by participating in our stock purchase plan.

Berthel Fisher is dedicated to helping our Representatives grow and diversify their practices. With our vast experience in alternative products, investment banking and other special product offerings, many Representatives experience significant increases in their business income.

If this is what you want your Broker/Dealer to look like, make the transition today!

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