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**Q Describe your firm, its offerings and its mission.**

DundeeWealth U.S. offers a broad range of strategies to institutional investors and wealth management firms. We are a division of DundeeWealth Inc., one of Canada's largest investment firms. Our platform includes more than 20 investment strategies including U.S., global and international equities, and specialized strategies such as gold and precious metals, natural resources, energy income and Canadian equities. Investment offerings are available through separate accounts, mutual funds, and commingled vehicles.

**Q Describe your investment strategy and how it might be unique.**

Our globally focused, opportunistic investment approach combines rigorous fundamental research with a Canadian perspective on global investment themes. Our investment professionals employ a high conviction, alpha-seeking investment approach designed to generate compelling returns for clients. The firm's investment strategies span the asset class spectrum and include regional equity offerings – Canadian Equity, US Equity, Non-US Equity, Global Equity and Emerging Market Equity – as well as specialized areas of investing including Gold, Natural Resources and Absolute Return oriented strategies.

**Q Describe how your investment products fit into a well-rounded asset allocation strategy.**

Many of our equity strategies are well suited to serve as a "satellite" allocation in a portfolio that employs a "core and explore" investment approach. Our portfolio managers are

encouraged to implement high conviction, alpha seeking investment strategies that have one, overriding focus - to generate compelling returns for clients.

**Q How has your firm adapted during the recent economic downturn?**

DundeeWealth Inc. is one of Canada's leading investment management firms. Our investment strategies are managed by professionals from six awarding winning investment teams. Despite a challenging environment in 2008, our investment team positioned our portfolios to take advantage of the recovery in 2009 and 2010. Over the past five years, the firm has dramatically grown both our client base and assets under management within our home market of Canada and we believe we are well positioned to offer investment solutions that will serve investors globally within the discipline of a well diversified portfolio.

## AT A GLANCE

**Firm name:** DundeeWealth US

**Principals:** Peter J. Moran, Amy D. Duling, John P. Lehning

**Employees:** 14

**Location:** Berwyn, PA

**Years in business:** 5

**Assets under management:** DundeeWealth Funds as of 3/31/11: \$293.6 million