

FORWARD FUNDS

101 CALIFORNIA STREET, SUITE 1600, SAN FRANCISCO, CA 94111
415.869.6300 • WWW.FORWARDFUNDS.COM

Q Describe your firm, its offerings and its mission.

Forward Management is an independent asset management firm dedicated to forward thinking that helps investors and advisors navigate a shifting, uncertain investment climate. We provide access to a broad spectrum of investment strategies and asset allocation solutions with a special focus on alternatives to traditional long-only investment.

Q Describe your investment strategy and how it might be unique.

Forward is focused on evolving solutions for a shifting, uncertain investment climate. With conditions pointing to an era of unpredictable returns, higher volatility and higher asset class correlations, investors today need new thinking and new tools to achieve the outcomes they are seeking. This blend of problem-solving resources is what we offer to institutions, financial advisors, bank trust departments and high-net-worth investors.

Our firm was founded by a group of seasoned industry professionals with deep consulting experience and an inclination to look beyond conventional investment strategies. Operating with a consultative bent, we ground our worldview and our products in strong convictions concerning asset allocation, portfolio construction and emerging areas of opportunity. We employ a multi-layered risk assessment and management process to systematically address liquidity, market, counterparty and other forms of investment risk.

Q Describe how your investment products fit into a well-rounded asset allocation strategy.

Under the Forward umbrella is a wide-ranging collection of building blocks for a new era in portfolio construction.

Our product set transcends traditional style categories and provides access to investment expertise across the market spectrum, including specialized and niche market areas. To give our clients even more choices, we package our expertise in a variety of ways, including mutual funds, private funds and separately managed accounts.

Q How has your firm adapted during the recent economic downturn?

Forward defines success primarily by our ability to attract and retain investment assets through the development of new products, through our penetration of new distribution channels and through our cultivation of relationships at the institutions in the channels where we operate.

In the last three years, Forward has made several strategic acquisitions, we have bolstered our internal asset management capabilities and we have partnered with third-party investment managers to introduce new products. Additionally, we have invested in technology platforms to assist in trading, operations, compliance and customer relationship management.

AT A GLANCE

Firm name: Forward Management, LLC
Employees: 96
Location: San Francisco, CA
Years in business: 14
Assets under management: \$5.8B