



# NORTHERN LIGHTS FUND TRUST

C/O GEMINI FUND SERVICES, LLC • 450 WIRELESS BOULEVARD, HAUPPAUGE, NY 11788  
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## Q Describe your firm, its offerings and its mission.

The Northern Lights Fund Trust is a shared mutual fund trust comprised of independent funds, all managed by separate investment advisors. Advisors have joined the trust, administered by Gemini Fund Services, LLC, to counteract the increasing costs of doing business and increased level of regulatory compliance in the mutual fund industry. For example, a shared trust offers economies of scale as well as an instant fund board and legal team and has proven to be a successful way for the small- to mid-sized mutual fund to compete and remain profitable.

## Q Describe your competitive differentiators..

We have taken the shared trust environment to the next level by providing a network in which advisors interact and share marketing and distribution ideas, gain additional fund exposure through our various marketing efforts, and utilize our sales and distribution support staff to gain direct access to broker/dealer representatives. The Northern Lights Fund Trust exhibits at many conferences on behalf of the funds in the Trust and promotes our funds' efforts through public relations and social media whenever possible.

## Q Describe the investment strategies you can support in a pooled investment vehicle within the Trust.

Funds in the Trust vary in investment strategy and cover almost every mutual fund strategy imaginable. Our funds range from asset allocation to equity to fixed income to alternative in style. We have many hedge-like mutual funds in the

Northern Lights Fund Trust and have added several managed futures funds in the last 18 months to the Trust.

## Q How has your firm adapted during the recent economic downturn?

Gemini and the Northern Lights Fund Trust survived the downturn extremely well. We've seen our client growth rate increase by 26% and we've launched 60 funds in the last year. The assets in the Trust doubled in size in 2010 and in just the first quarter of 2011, added 20% more in assets. Our success is very much based on the success of our clients. Those that have solid distribution plans for their fund and establish a positive name, along with solid performance in the industry, grow at a much quicker pace than those who believe the 'if I build it, they will come' philosophy for their funds.

## AT A GLANCE

**Firm name:** Northern Lights Fund Trust/Gemini Fund Services, LLC

**Principals:** Andrew Rogers, President

**Employees:** 100+

**Location:** Hauppauge, NY and Omaha, NE

**Years in business:** 28

**Assets under management:** \$5 billion + in the Northern Lights Fund Trust

**Minimum investment:** N/A