

RYDEX | SGI

SECURITY GLOBAL INVESTORSSM

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Q Describe your firm, its offerings and its mission.

Rydex|SGI, with approximately \$24 billion in assets under management, provides investment solutions to institutional and individual investors through separate accounts, commingled funds, mutual funds, and insurance trusts. The asset management division began managing discretionary assets in 1962 when it was founded by its parent company, Security Benefit Corporation (SBC), and registered with the Securities and Exchange Commission on November 20, 1970. The firm is a wholly-owned subsidiary of Guggenheim SBC Holdings, LCC, a private entity. SBC Holdings, LLC is a diversified financial services firm that provides a broad array of financial products and services to investors in the institutional, advisor, banking, education, government, and qualified plan markets.

Q Describe your investment strategy and how it might be unique.

Founded in 1993, Rydex|SGI, with approximately \$24 billion in assets under management, provides investment

solutions to institutional and individual investors. The firm combines the power of institutional investing strategies - such as leverage and shorting techniques, alternative strategies and other non-traditional or alternative strategies - with the convenience, transparency and affordability of registered products, such as mutual funds and exchange traded funds.

AT A GLANCE

Firm name: Rydex/SGI

Employees: As of 3.31.11, we have 287 total employees, 46 of which are investment professionals.

Location: NY, NY

Years in business: 18

Assets under management: \$24 billion

Minimum investment: Varies