RAYMOND JAMES[®]

Supporting Your Independence

N ot having to worry about the stability of your firm means you can focus 100% on the needs of your clients and the growth of your business. And just having marked our 100th consecutive profitable quarter – over 25 years of success based on prudent management and a commitment to our founding principles – confidence in our strength is a commitment we can make to you.

Service helps you win the day

Over the past few years, we've seen the value of exceptional service in winning clients when markets are up and keeping them when things are less than favorable. Service builds solid relationships that strengthen over time. That's why service is central to the support we offer you. We give you our best so you can give clients yours.

Your clients – and your book of business – are exactly that. Yours.

As any good relationship should, ours with you begins with respect – not only for you but also for your relationship with your clients. In fact, your relationship with your clients is the first thing we address in our Advisor Bill of Rights – a document that states unequivocally your rights as an advisor. At the top of this list: your ownership of your client relationships and your right to sell your book if you wish.

Control of your business belongs to you, and we trust you to determine the best course for its success – we're here to help, not interfere. That's why your control shouldn't end at the ownership of your book. That's precisely where it should begin.

An investment in time, technology and teams – for you.

Since we started back in 1962, we have invested time, staff and funds into improving and expanding the tools and resources we offer to make it easy for you to do business. Our home office is made up of more than 4,000 experienced professionals who view you as their client. They'll work hard to ensure you have all the tools and information at your fingertips to provide the highest caliber financial service.

Support to help you make a successful transition.

Transitioning advisors are assigned a dedicated transition consultant. You'll be personally guided through the most important details – from quickly and accurately processing account paperwork to setting up your staff with the appropriate technology. We have the largest transition team in the industry with more than 40 people on staff.

When you're ready to grow, we can help you through each stage of researching, negotiating and acquiring another practice or book of business. And when you're ready to retire, we'll help you establish a formal business succession plan to help you maximize your reward for years of hard work.

You and Raymond James – a team of independents.

As one of the largest non-bankowned financial services firms in the country, we're big enough to offer you powerful resources, but never so big that we don't have time for what matters most – you and your clients. We offer you the chance to gain complete control of your practice, own your book of business and enjoy being treated like a client yourself.

We hope you'll take this opportunity to learn more about the true independence and support you'll find at Raymond James by calling 866-930-3672, or visit us online at YourRaymondJames.com.

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