Five Choices Let You Run Your Business Your Way

For more than 34 years, Commonwealth has been the independent broker/dealer and Registered Investment Adviser that helps you be exactly the kind of advisor you want to be. Choose from five different ways to affiliate—all are powered by the right combination of infrastructure, people, and indispensable service you and your clients can rely on every day.

J.D. Power and Associates ranks Commonwealth *"Highest in Independent Advisor Satisfaction Among Financial Investment Firms"* three times in a row.*

Choose your business model.

Our range of models works for you now and as your business evolves:

Traditional Representative. Participate in straight commission-based brokerage business.

Dual Registration. Take full advantage of our broker/dealer and investment adviser registrations to conduct your commission- and fee-based businesses, selecting from Commonwealth's wide array of commission-based products or our Preferred Portfolio Services® (PPS) programs, Wealth Management Consulting, and other advisory services.

Hybrid. Maintain your commission-based revenue stream and conduct your advisory and planning business through your own RIA.

Corporate RIA. Conduct an exclusively fee-based business as an IAR of Commonwealth—with access to the full array of investment choices your clients demand through our PPS and approved third-party platforms.

RIA-Only. Experience all the advantages of running your advisory and financial planning business through your own RIA, without the responsibilities of maintaining your own infrastructure. You create the fee structure that works for you and enjoy complete flexibility in how you market and run your business.

We'll take care of the rest.

Whatever model you choose, you can count on us to deliver:

Fully integrated technology that covers all the functionality and solutions you depend on most, and more. All so you can spend more time doing what you do best—building productive, meaningful relationships with your clients and moving your business forward with maximum ease and efficiency.

Practice management consulting to provide guidance on staffing, marketing, succession planning, and more.

Compliance support and tools, or complete oversight and auditing, depending on your choice of affiliation.

Advanced planning expertise to help you provide solutions for clients with complex needs.

Research analysis and support from our award-winning team to help you deliver the knowledge and guidance your clients expect.

Bringing it all together is a community of people who celebrate your individuality and share your vision for success.

The right move is the last one you have to make.

Stated simply, our business model is the one that supports yours—by looking ahead to where you and your clients need to be, and offering a clear and seamless path to help you get there—whatever choices you make along the way. That's independence the way it's meant to be.

*Commonwealth Financial Network® received the highest numerical score in the independent advisor segment in the proprietary J.D. Power and Associates 2010, 2012, and 2013 Financial Advisor Satisfaction Studies℠. 2013 study based on 2,547 total responses and measures overall financial advisor satisfaction among advisors registered with the Financial Industry Regulatory Authority (FINRA) investment firms. Proprietary study results are based on experiences and perceptions of financial advisors surveyed in October 2012–February 2013. Your experiences may vary. Visit ***jdpower.com***.

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