UNITED PLANNERS
FINANCIAL SERVICES

Hybrid Solutions And Personalized Service Beginning Day One

In today's marketplace it is important to have options. United Planners (UP) offers several options for your fee based business including the opportunity to be your own Independent RIA or associate with the Firm RIA. Trading platforms and custodian relationships are available through Pershing, TD Ameritrade, Schwab Institutional and Fidelity Institutional. In addition, there are more than 20 approved Third Party Money Managers and Investment Management Programs available to advisors. Whether you are interested in learning more about fee based planning, are already transitioning to an Advisory platform, or if that is already your current focus, UP is dedicated to helping you maintain a successful Investment Advisory practice.

We provide the professional tools you need to run your practice in the most efficient manner.

UP has established strategic relationships with open architecture service providers within the ERISA market giving our Advisors the capabilities and tools to manage and grow their practice while adhering to our high Fiduciary Standards. UP offers guidance and agreements designed to help you keep abreast of the current haze of ERISA regulation and meet your Fiduciary obligations.

United Planners Firm RIA

United Planners Financial Services is a Registered Investment Advisor, providing Partners and Associates an unfettered program to transact fee-based business. With a majority of our representatives doing fee based business, we are very committed to supporting this side of your business.

Independent Registered Investment Advisors

We offer Partners and Associates the flexibility to conduct business through their own Independent Registered Investment Advisory firm with payouts starting at 95%. Advisors get the freedom and flexibility of being independent with a broad choice of custodians.

United Planners Errors and Omissions Insurance Policy covers authorized investment advisory services at no additional cost.

Custodial Options Include:

- Trust Company of America
- Pershing Advisor Solutions
- TD Ameritrade Institutional
- Schwab Institutional
- Fidelity Institutional Wealth Services
- Matrix Financial Solutions

Contact your Partner Development Department for more information about United Planners. 800-966-8737

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