"True Independent" Broker Dealer Tradition

hile there used to be many independent broker dealer firms to choose from, the last two years have seen their numbers greatly reduced. Unfortunately, the acquisition of many privately owned "independents" by private equity and sponsor related entities has taken much of their independence away. In stark contrast, Centaurus maintains the true culture of a privately owned independent firm, going back three generations, by embracing a culture of freedom and entrepreneurship. This culture is reflected in the following ways:

"We Invest in Your Success"

Your growth is our growth – Centaurus' success is derived, to a great degree, from an organic growth strategy that is driven by our industry renowned "Quantum Leap Workshops". These exclusive business building workshops are designed to maximize your efficiency and productivity, thus creating explosive revenue growth. These free, award winning workshops, staffed by leading industry mentors and Centaurus' top producers, are guaranteed to take the advisor's business to the next level!

Competitive Payouts and Lowest Fees in the Industry!

As broker dealer costs continue to rise. many broker dealers have responded by raising production requirements, consolidating or outsourcing services to third parties, tacking on new and/or increased fees, or reducing commissions. At Centaurus, we continue to have competitive payouts, reasonable production requirements, friendly and courteous in-house personnel, and the lowest and fewest fees in the industry. Our production flexibility, low fees, and high payouts are directly attributable to the explosive organic revenue growth generated by our "Quantum Leap Workshops".

Intelligent Growth and Immediate Access to Friendly and Courteous Service!

In order to provide the level of personalized, friendly service that many promise yet few deliver, Centaurus has managed our growth of new advisors so that current advisors have immediate access to Home Office personnel from the CEO on down. By restricting overzealous growth, and focusing on creating a world class work environment

for our employees, Centaurus is able to deliver prompt, friendly, and courteous service, yet maintain the necessary scale and infrastructure to provide the support and financial integrity our advisors demand.

Knowledgeable and Resourceful Compliance Professionals

Our compliance professionals recognize how diligently you strive to put your clients in the best possible position, and how time sensitive marketing material is to those waiting for approval. Our goal, simply put, is to accommodate your marketing objectives while adhering to the highest standards of our industry's rules and regulations.

Buying and Selling Financial Practices

Another way for Centaurus and its advisors to grow organically is for Centaurus to provide financial assistance and business consulting support to advisors who want to buy or sell financial practices. This capacity provides both Centaurus and its advisors a unique opportunity to grow our businesses in a manner totally consistent with our unique business philosophy.

