

Commonwealth Financial Network®



Experience Independence The Way It's Meant To Be

T rue independence is about choice. For nearly 35 years, Commonwealth has embodied that principle, giving you the freedom to choose exactly the kind of advisor you want to be. Select from five different ways to affiliate—all are powered by the right combination of infrastructure, people, and indispensable service that has helped make us the largest privately held independent broker/dealer-RIA in the nation.

You choose your business model.

Our range of models works for you now and as your business evolves:

Traditional representative.

Participate in straight commission-based brokerage business.

Dual registration. Take full advantage of our broker/dealer and investment adviser registrations to conduct your commission- and fee-based businesses.

Hybrid. Maintain your commission-based revenue stream and conduct your advisory and planning business through your own RIA.

Corporate RIA. Conduct an exclusively fee-based business as an IAR of Commonwealth—with access to the full array of investment choices your clients demand.



Stand Free.

RIA-Only. Experience all the advantages of running your advisory and financial planning business through your own RIA, without the responsibilities of maintaining your own infrastructure.

We take care of the rest.

Whatever direction you pursue, we're standing right beside you with:

Fully integrated technology that covers all the functionality and solutions you depend on most, and more

Practice management consulting to provide guidance on staffing, marketing, succession planning, and more

Compliance support and tools, or complete oversight and auditing, depending on your choice of affiliation

Advanced planning expertise to help you provide solutions for clients with complex needs

Research analysis and support from our award-winning team to help you deliver the knowledge and guidance your clients expect.

Bringing it all together is a community of people who are as passionate about your success as you are.

The right move is the last one you have to make.

Stated simply, our business model is the one that supports yours—by looking ahead to where you and your clients need to be and offering a clear and seamless path to help you get there—whatever choices you make along the way. Independence has never looked better.

Learn more about the B/D-RIA that understands independence the way it's meant to be. To start a conversation, contact Andrew Daniels, managing principal of business development, or a member of his team, at 866.462.3638.

CONTACT: Andrew Daniels and the Field Development team | Commonwealth Financial Network®
29 Sawyer Road, Waltham, MA 02453-3483 | 866.462.3638 | www.commonwealth.com | Member FINRA/SIPC