

The Ritz-Carlton Leadership Center + Personalized Practice Management Solutions = The INVESTORS CAPITAL EXPERIENCE

5-Star ServiceSM

Continually instructed by The Ritz-Carlton Leadership Center, our home office staff implements 5-Star business practices to provide our advisors with a premier experience. As a result, Investors Capital has won the *Investment Advisor* magazine Broker/Dealer of the Year award 4-times.

Personalized Practice Management Solutions & More

A Boutique Experience with Large Firm Resources

Best of Both Worlds: As a member of the Cetera Financial Network, you have all the resources of the largest firms with the touch of a boutique firm, where you matter more. Our staff will get to know you and your office and make sure you are able to leverage all of our resources:

- Connect2Clients[®] - award winning automated client marketing solution
- Pentameter[™] - practice management portal and business consulting process.
- Wealth Management University
- Growth Loans - forgivable financing to fund your business plans
- 2X Workshop - Hosted by our Top Producing Advisor, you'll learn his proven 6 steps to growth, success, and doubling your production.



- Blue Fire - our proprietary, holistic Practice Management Symposium

The Investors Capital Family

A warm home office culture of experienced professionals our advisors call "friends" and "family". As an ICC Advisor and part of the Investors Capital Family, you'll experience our motto:

Great service to great people by great people, every day.

Firm Overview

- Delivering 5-Star ServiceSM every day since 1992
- 4-Time Winner *Investment Advisor* magazine BROKER-DEALER OF THE YEAR
- 450 Advisors
- Total AUM: \$10 Billion
- Clearing: Pershing
- Member of Cetera Financial Group



A premier independent broker/dealer

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