

# Voted the #1 Independent Broker-Dealer

## History

- Founded: 1993
- Retail Locations: Nationwide
- Total AUM: \$11 Billion
- Total Number of FAs: 355
- Member of Cetera Financial Group, RCS Capital Corporation's (NYSE: RCAP) retail investment advice platform

## Fast Facts

- Product Mix: Advisory, Insurance, Brokerage, Direct Business and Alternatives
- Financial Advisor Average GDC: \$300,000
- Top 20% GDC Average: \$750,000
- Clearing: First Clearing/Pershing
- Financial Advisor Minimum: \$150,000

## Firm Overview

Summit Brokerage Services has been serving the needs of an elite group of independent financial advisors for over 20 years. While all independent firms offer similar products and payouts, what sets Summit apart is its commitment to maintain a culture among its home office associates to provide the highest standard in personalized service to each of its financial advisors and their clients. At Summit, we recognize that servicing your clients is the most important thing to you, and servicing you, as our client, is the most important thing to us. Our promise to provide an unmatched level of service is reflected in our commitment to always:



- Make growing our advisors' business a core part of our culture
- Deliver a boutique experience
- Give our advisors the highest level of access to Senior and Executive level management
- Provide the best technology, products, practice management and training opportunities to allow you to maximize the value of your efforts.
- Help create a succession plan to protect the value of the practice you've worked so hard to create.
- Treat you and your staff as our partners.



**"I know what it takes to be #1."**  
—Don Shula, #1 Winningest Coach in NFL History

\*REP Magazine 2014 Broker-Dealer Report Card

SUMMIT

BROKERAGE SERVICES, INC.

A member of Cetera Financial Group

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