

The Right Fit for Hybrid Advisors

In today's marketplace it is important to have options. United Planners (UP) offers several options for your fee based business including the opportunity to be your own Independent RIA or associate with the Firm RIA. Trading platforms and custodian relationships are available through Pershing, TD Ameritrade, Schwab Institutional, Fidelity Institutional and Trust Company of America. In addition, there are several approved Third Party Money Managers and Investment Management Programs available to advisors. Whether you are interested in learning more about fee based planning, are already transitioning to an Advisory platform, or if it is already your current focus, UP is dedicated to helping you maintain a successful Investment Advisory practice while offering all of the service and support you need for your Securities Business.

United Planners Firm RIA

United Planners Financial Services is a Registered Investment Advisor, providing Partners and Associates an unfettered program to transact fee-based business using a broad choice of custodians. With a majority of our representatives doing fee based



We provide the professional tools you need to run your practice in the most efficient manner.



business, we are very committed to supporting this side of your practice.

Independent Registered Investment Advisors

United Planners offer Partners and Associates the flexibility to conduct business through their own Independent Registered Investment Advisory firm with payouts starting at 95%. Advisors get the freedom and flexibility of being independent with a broad choice of custodians. United Planners Errors and Omissions Insurance Policy covers authorized investment advisory services at no additional cost.

Custodial Options Include:

- Pershing Advisor Solutions
- TD Ameritrade Institutional
- Schwab Institutional
- Fidelity Institutional Wealth Services
- Matrix Financial Solutions
- Trust Company of America

United Planners (UP) is a Registered Investment Advisor and full-service Independent Broker-Dealer uniquely structured as a Limited Partnership. The firm has been forward thinking since its inception in 1987.

