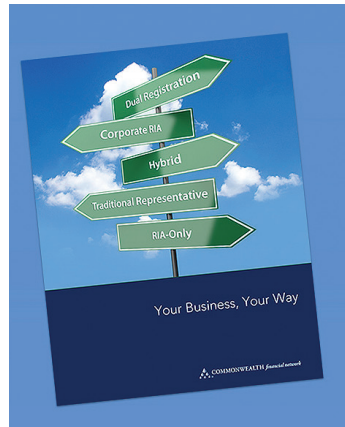


Be the Kind of Advisor You Want to Be

T rue independence is about choice. As the nation's largest privately held broker/dealer-RIA, Commonwealth has embodied that principle for more than 37 years, giving you the freedom to decide exactly the kind of advisor you want to be. Five different ways to affiliate let you choose the model that works for where you are today, with the flexibility to get you where you want to go in the future. And all are powered by the right combination of infrastructure, people, and indispensable service you and your clients can rely on every day.



Choices for Today with Flexibility for Tomorrow

Discover a seamless path to the independent business you've always wanted. Call us today at 866.462.3638 to request your copy of *Your Business, Your Way*.

You choose your growth path.

No one model is right for everyone—or necessarily right forever. That's why we help you sort through the complexities and nuances five different options, so you can pursue the mix that's right for your evolving business needs and objectives:

Traditional Representative. Participate in straight commission-based brokerage business.

Dual Registration. Take full advantage of our broker/dealer and investment adviser registrations to conduct your commission- and fee-based businesses.

Hybrid. Maintain your commission-based revenue stream and conduct your advisory and planning business through your own RIA.

Corporate RIA. Conduct an exclusively fee-based business as an IAR of Commonwealth—with access to the full array of investment choices your clients demand.

RIA-Only. Experience all the advantages of running your advisory and financial planning business through your own RIA, without the responsibilities of maintaining your own infrastructure. You create the fee structure that works for you and enjoy complete flexibility in how you market and run your business.

We take care of the rest.

Whatever path you choose, we've got you covered with flexible, holistic support that works for you both today and as your business evolves, including:

Fully integrated technology that covers all the functionality and solutions you depend on most and more.

Practice management consulting to provide guidance on staffing, marketing, succession planning, and more.

Compliance support and tools, or complete oversight and auditing, depending on your choice of affiliation.

Advanced planning expertise to help you provide solutions for clients with complex needs.

Research analysis and support from our award-winning team to help you deliver the knowledge and guidance your clients expect.

Bringing it all together is a community of people who celebrate your individuality and share your vision for success.

The right move is the last one you have to make.

Stated simply, our business model is the one that supports yours—by looking ahead to where you and your clients need to be and offering a clear and seamless path to help you get there—whatever choices you make along the way. That's independence the way it's meant to be.

